

2155 #3  
BTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

9-9-02

Applicant(s): T. W. Frank et al.

Serial No.: 09/776,994

Filed: February 5, 2001

Group Art Unit: 2155

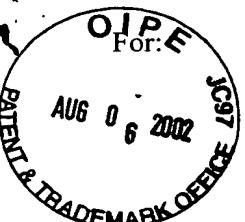
Examiner: TBA

METHOD AND SYSTEM FOR COMPLIANCE MANAGEMENT

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CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))COMMISSIONER OF PATENTS  
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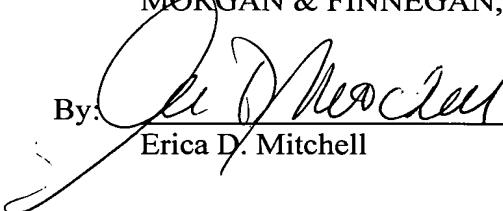
Sir:

I hereby certify that the attached:

1. Information Disclosure Statement ;
2. PTO-Form 1449 w/ copies of all cited references ; and
3. Return receipt postcard.

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Washington, D.C., 20231.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: August 1, 2002By:   
Erica D. MitchellCorrespondence Address:

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Docket No. 3956-4004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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For: METHOD AND SYSTEM FOR COMPLIANCE MANAGEMENT

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, DC 20231

Sir:

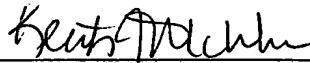
Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references  are attached  were filed in related application U.S. Serial No(s) \_\_\_\_\_, filed \_\_\_\_\_, respectively.

- This document is being filed within three (3) months of the filing date of the application
- A check for the requisite fee of \$180 is enclosed.
- This document is being concurrently filed with the above-identified application
- This document is being concurrently filed with an Request for Continued Examination (RCE)
- This document is being filed prior to a first Office Action
- This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3956-4004. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: August 1, 2002

By:

  
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